



#9

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| FORM PTO-1449<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>LIST OF REFERENCES CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) | ATTORNEY DOCKET NO.<br>826.1411D4        | APPLICATION NO.<br>09/755,046 |
|  | FIRST NAMED INVENTOR<br>Yutaka KATSUYAMA |                               |
|  | FILING DATE<br>January 8, 2001           | GROUP ART UNIT<br>2623 2625   |
|  |  |                               |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NO. | DATE | NAME | CLASS  | SUB-<br>CLASS | FILING<br>DATE |
|----------------------|----|-----------------|------|------|--|---------------|----------------|
|                      | AA |                 |      |      | <b>RECEIVED</b><br>OCT 23 2003<br>Technology Center 2600 |               |                |
|                      | AB |                 |      |      |  |               |                |
|                      | AC |                 |      |      |  |               |                |
|                      | AD |                 |      |      |  |               |                |
|                      | AE |                 |      |      |  |               |                |
|                      | AF |                 |      |      |  |               |                |

## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NO. | DATE    | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |   |
|----|----|-----------------|---------|---------|-------|---------------|-----------------------|---|
| TJ | AG | 5-233873        | 9/10/93 | Japan   | 606K  | 9/20          |                       | X |
| TJ | AH | 7-5740          | 1/10/95 | Japan   | 603G  | 15/61         |                       | X |
|    | AI |                 |         |         |       |               |                       |   |
|    | AJ |                 |         |         |       |               |                       |   |
|    | AK |                 |         |         |       |               |                       |   |
|    | AL |                 |         |         |       |               |                       |   |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |   |  | TRANSLATION<br>YES NO |  |
|--|----|---|--|-----------------------|--|
|  | AM | Communication (the Notice of Grounds for Rejection) for the corresponding Japanese Patent Application |  | Partial               |  |

|   |                               |
|---|-------------------------------|
| EXAMINER<br><br><i>Jonathan M. Johnson</i>  | DATE CONSIDERED<br><br>12/8/3 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                               |



#8

Sheet 1 of 1

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| FORM PTO-1449  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO.<br>826.1411D4        | APPLICATION NO.<br>09/755,046 |
| LIST OF REFERENCES CITED BY APPLICANT<br><br>(Use several sheets if necessary) |  | FIRST NAMED INVENTOR<br>Yutaka KATSUYAMA |                               |
|  |  | FILING DATE<br>January 8, 2001           | GROUP ART UNIT<br>2623 2625   |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NO. | DATE       | NAME      | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|----------------------|----|-----------------|------------|-----------|-------|---------------|----------------|
| TJ                   | AA | 5,757,957       | 05/26/1998 | Tachikawa | 382   | 176           | 3/1/95         |
|                      | AB |                 |            |           |       |               |                |
|                      | AC |                 |            |           |       |               |                |
|                      | AD |                 |            |           |       |               |                |
|                      | AE |                 |            |           |       |               |                |
|                      | AF |                 |            |           |       |               |                |

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## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NO. | DATE       | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |   |
|----|----|-----------------|------------|---------|-------|---------------|-----------------------|---|
| TJ | AG | 5-233873        | 09/10/1993 | Japan   | 606K  | 9/20          |                       | ✓ |
|    | AH |                 |            |         |       |               |                       |   |
|    | AI |                 |            |         |       |               |                       |   |
|    | AJ |                 |            |         |       |               |                       |   |
|    | AK |                 |            |         |       |               |                       |   |
|    | AL |                 |            |         |       |               |                       |   |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |   |
|--|----|---|
|  | AM | EPO Office Action for corresponding EPC Application |
|  | AN |   |

|   |                               |
|---|-------------------------------|
| EXAMINER<br><br>Timothy M. Gibson   | DATE CONSIDERED<br><br>12/8/3 |
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